



Attorney Docket No.
D/A2187

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Damián Arregui

Group Art Unit:

Application No.: 10/707,143

Examiner: Not yet Assigned

Filed: 11/24/2003

For: WORKFLOW SYSTEM AND METHOD

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

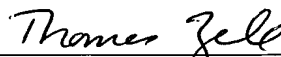
Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form, except for U.S. Patents or published U.S. applications. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), **OR** (b) before the mailing date of a first Office Action on the merits. *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

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- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. _____, filed _____, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- ☒ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.



Thomas Zell

Signature under 37 CFR 1.33 & 34

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Date 04/27/2004

Form PTO-1449		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. D/A2187		APPLICATION NO. 10/707,143	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT Damián Arregui et al.			
				FILING DATE 11/24/2003		GROUP ART UNIT	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE			CLASS	SUB CLASS
	6,340,931	01/22/2002	Harrison et al.			340	572.1
	6,342,830	01/29/2002	Want et al.			340	10.1
	6,422,474	07/23/2002	Gossweiler, III et al.			235	492
	6,446,208	09/03/2002	Gujar et al.			713	185
	6,493,731	12/10/2002	Jones et al.			707	501.1
	6,505,219	01/01/2003	MacLean et al.			707	530
	6,542,083	04/01/2003	Richley et al.			340	825.49
	6,573,916	06/03/2003	Gossweiler, III et al.			345	850
	2004/0019613 A1	01/29/2004	Jones et al.			707	200

FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
	EP	1111518 A2	06/27/2001	Xerox Corporation	Y

OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

	DAMIAN ARREGUI, FRANCOIS PACULL, JUTTA WILLAMOWSKI, "Yaka: Document Notification and Delivery Across Heterogeneous Document Repositories", in Proceedings of CRIWG 2001, Darmstadt, Germany, September 6-8, 2001.
	Coordination Technologies, Projects: Yaka, (Available on the Internet, May 2003 at: http://www.xrce.xerox.com/).
	Flowport User Guide, Version 2.1, November 2000 (see in particular Chapter 3).
	U.S. Patent Applications Serial No. 10/202,027 entitled "Electronic Filing System With Scan-Placeholders", filed July 25, 2002 (D/A0846).
EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	